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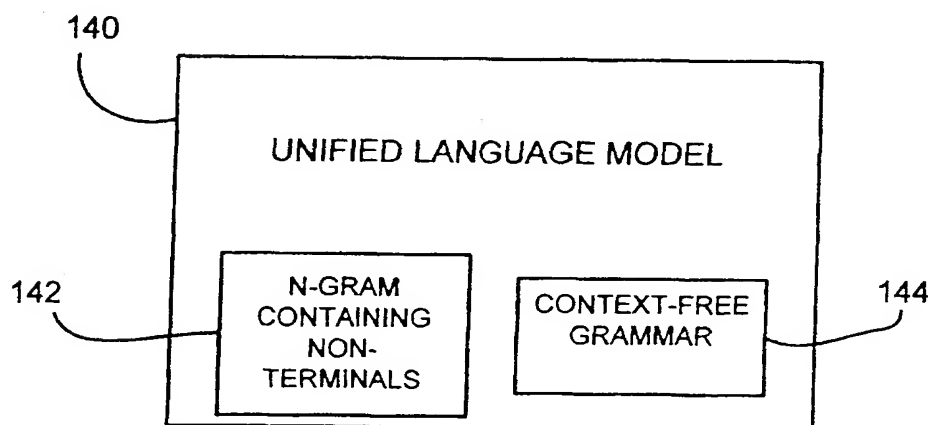
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(54) Title: **UNIFIED LANGUAGE MODEL CFG AND N-GRAMS**



(57) Abstract: A language processing system includes a unified language model (16). The unified language model (140) comprises a plurality of context-free grammars (144) having non-terminal tokens representing semantic or syntactic concepts and terminals, and an N-gram language model (142) having non-terminal tokens. A language processing module (10) capable of receiving an input signal (12) indicative of language accesses the unified language model (140) to recognize the language. The language processing module (10) generates hypotheses for the received language as a function of words of the unified language model (140) and/or provides an output signal (14) indicative of the language and at least some of the semantic or syntactic concepts contained therein.

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## UNIFIED LANGUAGE MODEL CFG AND N-GRAMS

BACKGROUND OF THE INVENTION

The present invention relates to language  
5 modeling. More particularly, the present invention  
relates to a language processing system utilizing a  
unified language model.

Accurate speech recognition requires more  
than just an acoustic model to select the correct  
10 word spoken by the user. In other words, if a speech  
recognizer must choose or determine which word has  
been spoken, if all words have the same likelihood of  
being spoken, the speech recognizer will typically  
perform unsatisfactorily. A language model provides  
15 a method or means of specifying which sequences of  
words in the vocabulary are possible, or in general  
provides information about the likelihood of various  
word sequences.

One form of a language model that has been used  
20 is a unified language model. The unified language  
model is actually a combination of an N-gram language  
model (hybrid N-gram language model) and a plurality  
of context-free grammars. In particular, the  
plurality of context-free grammars is used to define  
25 semantic or syntactic concepts of sentence structure  
or spoken language using non-terminal tokens to  
represent the semantic or syntactic concepts. Each  
non-terminal token is defined using at least  
terminals and, in some instances, other non-terminal

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tokens in a hierarchical structure. The hybrid N-gram language model includes at least some of the same non-terminals of the the plurality of context-free grammars embedded therein such that in addition to  
5 predicting terminals or words, the N-gram language model also can predict non-terminals.

Current implementation of the unified language model in a speech recognition system uses a conventional terminal based N-gram model to generate  
10 hypotheses for the utterance to be recognized. As is well known, during the speech recognition process, the speech recognition system will explore various hypotheses of shorter sequences of possible words, and based on probabilities obtained from the  
15 conventional terminal based N-gram model, discard those yielding lower probabilities. Longer hypotheses are formed for the utterance and initial language model scores are calculated using the conventional terminal based N-gram model.

20 Commonly, the language model scores are combined with the acoustic model score to provide a total score for each hypothesis. The hypotheses are then ranked from highest to lowest based on their total scores. The unified language model is then applied to  
25 each of the hypotheses, or a subset thereof, to calculate new language model scores, which are then combined with the acoustic model score to provide new total scores. The hypotheses are then re-ranked based on the new total scores, wherein the highest is

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considered to correspond to the utterance. However, since some hypotheses were discarded during the search process, upon recalculation of the language model scores with the unified language model, the correct hypothesis could have been discarded, and therefore, will not make it into the list of hypotheses. Use of a unified language model which has the potential to be more accurate than the conventional word-based N-gram directly during the search process can help in preventing such errors.

Although speech recognition systems have been used in the past to simply provide textual output corresponding to a spoken utterance, there is a desire to use spoken commands to perform various actions with a computer. Typically, the textual output from the speech recognition system is provided to a natural language parser, which attempts to ascertain the meaning or intent of the utterance in order to perform a particular action. This structure therefore requires creation and fine-tuning of the speech recognition system as well as creation and fine-tuning of the natural language parser, both of which can be tedious and time consuming.

There is thus a continuing need for a language processing system that addresses one or both of the problems discussed above.

#### SUMMARY OF THE INVENTION

A language processing system includes a unified language model. The unified language model comprises

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a plurality of context-free grammars having non-terminal tokens representing semantic or syntactic concepts and terminals, and an N-gram language model having non-terminal tokens in addition to the words in the language. A language processing module capable of receiving an input signal indicative of language accesses the unified language model to recognize the language. The language processing module generates hypotheses for the received language as a function of terminals of the unified language model and/or provides an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a language processing system.

FIG. 2 is a block diagram of an exemplary computing environment.

FIG. 3 is a block diagram of an exemplary speech recognition system.

FIG. 4 is a pictorial representation of a unified language model.

FIG. 5 is pictorial representation of a topic identification and corresponding slots.

FIG. 6 is a user interface for an electronic mail application.

#### DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

FIG. 1 generally illustrates a language processing system 10 that receives a language input

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12 and processes the language input 12 to provide a language output 14. For example, the language processing system 10 can be embodied as a speech recognition system or module that receives as the  
5 language input 12 spoken or recorded language by a user. The speech recognition system 10 processes the spoken language and provides as an output, recognized words typically in the form of a textual output.

During processing, the speech recognition system  
10 or module 10 can access a language model 16 in order to determine which words have been spoken. The language model 16 encodes a particular language, such as English. In the embodiment illustrated, the language model 16 is a unified language model  
15 comprising a context-free grammar specifying semantic or syntactic concepts with non-terminals and a hybrid N-gram model having non-terminals embedded therein.

As appreciated by those skilled in the art, the language model 16 can be used in other language  
20 processing systems besides the speech recognition system discussed above. For instance, language models of the type described above can be used in handwriting recognition, Optical Character Recognition (OCR), spell-checkers, language  
25 translation, input of Chinese or Japanese characters using standard PC keyboard, or input of English words using a telephone keypad. Although described below with particular reference to a speech recognition system, it is to be understood that the present

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invention is useful in application of language models in these and other forms of language processing systems.

Prior to a detailed discussion of the present invention, an overview of an operating environment may be helpful. FIG. 2 and the related discussion provide a brief, general description of a suitable computing environment in which the invention can be implemented. Although not required, the invention will be described, at least in part, in the general context of computer-executable instructions, such as program modules, being executed by a personal computer. Generally, program modules include routine programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Tasks performed by the programs and modules are described below and with the aid of block diagrams and flow charts. Those skilled in the art can implement the descriptions, block diagrams and flow charts as processor executable instructions, which can be written on any form of a computer readable medium. In addition, those skilled in the art will appreciate that the invention can be practiced with other computer system configurations, including hand-held devices, multiprocessor systems, microprocessor-based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention can also be practiced in distributed computing environments where



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tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules can be located in both local and remote memory storage devices.

With reference to FIG. 2, an exemplary system for implementing the invention includes a general purpose computing device in the form of a conventional personal computer 50, including a processing unit 51, a system memory 52, and a system bus 53 that couples various system components including the system memory to the processing unit 51. The system bus 53 can be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes read only memory (ROM) 54 and a random access memory (RAM) 55. A basic input/output system 56 (BIOS), containing the basic routine that helps to transfer information between elements within the personal computer 50, such as during start-up, is stored in ROM 54. The personal computer 50 further includes a hard disk drive 57 for reading from and writing to a hard disk (not shown), a magnetic disk drive 58 for reading from or writing to a removable magnetic disk 59, and an optical disk drive 60 for reading from or writing to a removable optical disk such as a CD ROM or other optical media. The hard disk drive 57, magnetic disk drive 58, and optical

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disk drive 60 are connected to the system bus 53 by a hard disk drive interface 62, magnetic disk drive interface 63, and an optical drive interface 64, respectively. The drives and the associated  
5 computer-readable media provide nonvolatile storage of computer readable instructions, data structures, program modules and other data for the personal computer 50.

Although the exemplary environment described  
10 herein employs the hard disk, the removable magnetic disk 59 and the removable optical disk 61, it should be appreciated by those skilled in the art that other types of computer readable media, which can store data that is accessible by a computer, such as  
15 magnetic cassettes, flash memory cards, digital video disks, Bernoulli cartridges, random access memories (RAMs), read only memory (ROM), and the like, can also be used in the exemplary operating environment.

A number of program modules can be stored on the  
20 hard disk, magnetic disk 59, optical disk 61, ROM 54 or RAM 55, including an operating system 65, one or more application programs 66, other program modules 67, and program data 68. A user can enter commands and information into the personal computer 50 through  
25 input devices such as a keyboard 70, a handwriting tablet 71, a pointing device 72 and a microphone 92. Other input devices (not shown) can include a joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often

connected to the processing unit 51 through a serial port interface 76 that is coupled to the system bus 53, but can be connected by other interfaces, such as a sound card, a parallel port, a game port or a universal serial bus (USB). A monitor 77 or other type of display device is also connected to the system bus 53 via an interface, such as a video adapter 78. In addition to the monitor 77, personal computers typically include other peripheral output devices such as a speaker 83 and a printer (not shown).

The personal computer 50 can operate in a networked environment using logic connections to one or more remote computers, such as a remote computer 79. The remote computer 79 can be another personal computer, a server, a router, a network PC, a peer device or other network node, and typically includes many or all of the elements described above relative to the personal computer 50, although only a memory storage device 80 has been illustrated in FIG. 2. The logic connections depicted in FIG. 2 include a local area network (LAN) 81 and a wide area network (WAN) 82. Such networking environments are commonplace in offices, enterprise-wide computer network Intranets and the Internet.

When used in a LAN networking environment, the personal computer 50 is connected to the local area network 81 through a network interface or adapter 83. When used in a WAN networking environment, the

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personal computer 50 typically includes a modem 84 or other means for establishing communications over the wide area network 82, such as the Internet. The modem 84, which can be internal or external, is  
5 connected to the system bus 53 via the serial port interface 76. In a network environment, program modules depicted relative to the personal computer 50, or portions thereof, can be stored in the remote memory storage devices. As appreciated by those  
10 skilled in the art, the network connections shown are exemplary and other means of establishing a communications link between the computers can be used.

An exemplary embodiment of a speech recognition  
15 system 100 is illustrated in FIG. 3. The speech recognition system 100 includes the microphone 92, an analog-to-digital (A/D) converter 104, a training module 105, feature extraction module 106, a lexicon storage module 110, an acoustic model along with  
20 senone trees 112, a tree search engine 114, and the language model 16. It should be noted that the entire system 100, or part of speech recognition system 100, can be implemented in the environment illustrated in FIG. 2. For example, microphone 92 can  
25 preferably be provided as an input device to the computer 50, through an appropriate interface, and through the A/D converter 104. The training module 105 and feature extraction module 106 can be either hardware modules in the computer 50, or software

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modules stored in any of the information storage devices disclosed in FIG. 2 and accessible by the processing unit 51 or another suitable processor. In addition, the lexicon storage module 110, the  
5 acoustic model 112, and the language model 16 are also preferably stored in any of the memory devices shown in FIG. 2. Furthermore, the tree search engine 114 is implemented in processing unit 51 (which can include one or more processors) or can be performed  
10 by a dedicated speech recognition processor employed by the personal computer 50.

In the embodiment illustrated, during speech recognition, speech is provided as an input into the system 100 in the form of an audible voice signal by  
15 the user to the microphone 92. The microphone 92 converts the audible speech signal into an analog electronic signal, which is provided to the A/D converter 104. The A/D converter 104 converts the analog speech signal into a sequence of digital  
20 signals, which is provided to the feature extraction module 106. In one embodiment, the feature extraction module 106 is a conventional array processor that performs spectral analysis on the digital signals and computes a magnitude value for each frequency band of a  
25 frequency spectrum. The signals are, in one illustrative embodiment, provided to the feature extraction module 106 by the A/D converter 104 at a sample rate of approximately 16 kHz.

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The feature extraction module 106 divides the digital signal received from the A/D converter 104 into frames that include a plurality of digital samples. Each frame is approximately 10 milliseconds in duration. The frames are then encoded by the feature extraction module 106 into a feature vector reflecting the spectral characteristics for a plurality of frequency bands. In the case of discrete and semi-continuous Hidden Markov Modeling, the feature extraction module 106 also encodes the feature vectors into one or more code words using vector quantization techniques and a codebook derived from training data. Thus, the feature extraction module 106 provides, at its output the feature vectors (or code words) for each spoken utterance. The feature extraction module 106 provides the feature vectors (or code words) at a rate of one feature vector or (code word) approximately every 10 milliseconds.

Output probability distributions are then computed against Hidden Markov Models using the feature vector (or code words) of the particular frame being analyzed. These probability distributions are later used in executing a Viterbi or similar type of processing technique.

Upon receiving the code words from the feature extraction module 106, the tree search engine 114 accesses information stored in the acoustic model 112. The model 112 stores acoustic models, such as

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Hidden Markov Models, which represent speech units to be detected by the speech recognition system 100. In one embodiment, the acoustic model 112 includes a senone tree associated with each Markov state in a Hidden Markov Model. The Hidden Markov models represent, in one illustrative embodiment, phonemes. Based upon the senones in the acoustic model 112, the tree search engine 114 determines the most likely phonemes represented by the feature vectors (or code words) received from the feature extraction module 106, and hence representative of the utterance received from the user of the system.

The tree search engine 114 also accesses the lexicon stored in module 110. The information received by the tree search engine 114 based on its accessing of the acoustic model 112 is used in searching the lexicon storage module 110 to determine a word that most likely represents the codewords or feature vector received from the features extraction module 106. Also, the search engine 114 accesses the language model 16. The language model 16 is a unified language model that is used in identifying the most likely word represented by the input speech. The most likely word is provided as output text.

Although described herein where the speech recognition system 100 uses HMM modeling and senone trees, it should be understood that this is but one illustrative embodiment. As appreciated by those skilled in the art, the speech recognition system 100

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can take many forms and all that is required is that it uses the language model 16 and provides as an output the text spoken by the user.

As is well known, a statistical N-gram language  
5 model produces a probability estimate for a word given the word sequence up to that word (i.e., given the word history H). An N-gram language model considers only (n-1) prior words in the history H as having any influence on the probability of the next  
10 word. For example, a bi-gram (or 2-gram) language model considers the previous word as having an influence on the next word. Therefore, in an N-gram language model, the probability of a word occurring is represented as follows:

15

$$P(w/H) = P(w/w_1, w_2, \dots, w_{(n-1)}) \quad (1)$$

where w is a word of interest:

w<sub>1</sub> is the word located n-1 positions prior to  
20 the word w;

w<sub>2</sub> is the word located n-2 positions prior to the word w; and

w<sub>(n-1)</sub> is the first word prior to word w in the sequence.

25 Also, the probability of a word sequence is determined based on the multiplication of the probability of each word given its history. Therefore, the probability of a word sequence (w<sub>1</sub> . . . w<sub>m</sub>) is represented as follows:



$$P(w_1 \dots w_m) = \prod_{i=1}^m (P(w_i / H_i)) \quad (2)$$

5           The N-gram model is obtained by applying an N-gram algorithm to a corpus (a collection of phrases, sentences, sentence fragments, paragraphs, etc) of textual training data. An N-gram algorithm may use, for instance, known statistical techniques such as  
10   Katz's technique, or the binomial posterior distribution backoff technique. In using these techniques, the algorithm estimates the probability that a word  $w(n)$  will follow a sequence of words  $w_1, w_2, \dots, w(n-1)$ . These probability values  
15   collectively form the N-gram language model.

          As also well known in the art, a language model can also comprise a context-free grammar. A context-free grammar provides a rule-based model that can capture semantic or syntactic concepts (e.g. an  
20   action, a subject, an object, etc.) of sentence structure or spoken language. For instance, by way of example, one set of context-free grammars of a larger plurality of context-free grammars for a software application or task concerning scheduling meetings or  
25   sending electronic mail may comprise:

          <Schedule Meeting> → <Schedule Command> <Meeting  
          Object>;  
          <Schedule Command> → book;  
30   <Schedule Command> → schedule;  
          <Schedule Command> → arrange;

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etc.

<Meeting Object> → meeting;

<Meeting Object> → dinner;

5 <Meeting Object> → appointment;

<Meeting Object> → a meeting with <Person>;

<Meeting Object> → a lunch with <Person>;

etc.

10 <Person> → Anne Weber;

<Person> → Eric Moe;

<Person> → Paul Toman;

etc.

15 In this example, "< >" denote non-terminals for classifying semantic or syntactic concepts, whereas each of the non-terminals is defined using terminals (e.g. words or phrases) and, in some instances, other non-terminal tokens in a hierarchical structure.

20 This type of grammar does not require an in-depth knowledge of formal sentence structure or linguistics, but rather, a knowledge of what words, phrases, sentences or sentence fragments are used in a particular application or task.

25 A unified language model is also well known in the art. Referring to FIG. 4, a unified language model 140 includes a combination of an N-gram language model 142 and a plurality of context-free grammars 144. Specifically, the N-gram language model

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142 includes at least some of the same non-terminals of the plurality of context-free grammars 144 embedded therein such that in addition to predicting words, the N-gram language model 142 also can predict non-terminals. Generally, a probability for a non-terminal can be represented by the following:

$$P(<NT>/h_1, h_2, \dots h_n) \quad (3)$$

where  $(h_1, h_2, \dots h_n)$  can be previous words or non-terminals. Essentially, the N-gram language model 142 (also known as a hybrid N-gram model) of the unified language model 140 includes an augmented vocabulary having words and at least some of the non-terminals. The manner in which the unified language model is created is not essential to the present invention. However, co-pending application entitled "Creating a Language Model for a Language Processing System", filed on June 1, 2000 and assigned Serial No. 09/585,298 describes various techniques for creating a unified language model and is incorporated herein by reference in its entirety.

In use, the speech recognition system or module 100 will access the language model 16 (in this embodiment, the unified language model 140) in order to determine which words have been spoken. The N-gram language model 142 will be used to predict words and non-terminals. If a non-terminal has been predicted, the plurality of context-free grammars 144 is used to

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predict terminals as a function of the non-terminal. Generally, the speech recognition module 100 will use the terminals provided by the context-free grammars during the search process to expand the number of hypotheses examined.

For instance, in the context-free grammar example provided above, the speech recognition module 100 could have a hypothesis that includes "... a meeting with <Person>". Upon application of the non-terminal <Person> during the search process, each of the individuals defined by the context-free grammars associated with <Person> will be explored. Probabilities associated with each of the terminals for the non-terminal <Person> will be applied with probabilities of the terminals from the hybrid N-gram model in order to assign a probability for each sequence of words (hypothesis) that is explored. The competing scores for each language model hypothesis are typically combined with scores from the acoustic model in order to form an N-best list of possible hypotheses for the sequence of words. However, the manner in which the language model score for each hypothesis is used is not an essential aspect of this portion of the invention.

In one embodiment, an input utterance  $W = w_1 w_2 \dots w_s$  can be segmented into a sequence  $T = t_1 t_2 \dots t_m$  where each  $t_i$  is either a word in  $W$  or a context-free grammar non-terminal that covers a sequence of words  $\bar{u}_i$  in  $W$ .

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The likelihood of  $W$  under the segmentation  $T$  is therefore

$$P(W, T) = \prod_{i=1}^m P(t_i | t_{i-2}, t_{i-1}) \prod_{i=1}^m P(\overline{u}_{t_i} | t_i) \quad (4)$$

In addition to tri-gram probabilities, we need  
 5 to include  $P(\overline{u}_{t_i} | t_i)$ , the likelihood of generating  
 a word sequence  $\overline{u}_{t_i} = [u_{t_i,1} u_{t_i,2} \dots u_{t_i,k}]$  from the context-free  
 grammar non-terminal  $t_i$ . In the case when  $t_i$  itself is  
 a word ( $\overline{u}_{t_i} = [t_i]$ ),  $P(\overline{u}_{t_i} | t_i) = 1$ . Otherwise,  $P(\overline{u}_{t_i} | t_i)$  can be  
 obtained by predicating each word in the sequence on  
 10 its word history:

$$P(\overline{u}_{t_i} | t_i) = \prod_{l=1}^{|\overline{u}_{t_i}|} P(u_{t_i,l} | u_{t_i,1}, \dots, u_{t_i,l-1}) P(</s> | \overline{u}_{t_i}) \quad (5)$$

Here  $</s>$  represents the special end-of-sentence  
 word. Three different methods are used to calculate  
 the likelihood of a word given history inside a  
 15 context-free grammar non-terminal.

A history  $h = u_{t_i,1} u_{t_i,2} \dots u_{t_i,l-1}$  corresponds to a set  $Q(h)$ ,  
 where each element in the set is a CFG state  
 generating the initial  $l-1$  words in the history from  
 the non-terminal  $t_i$ . A CFG state constrains the  
 20 possible words that can follow the history. The union  
 of the word sets for all of the CFG states in  
 $Q(h)$ ,  $W_Q(h)$  defines all legal words (including the  
 symbol " $</s>$ " for exiting the non-terminal  $t_i$  if  
 $t_i \Rightarrow u_{t_i,1} u_{t_i,2} \dots u_{t_i,l-1}$ ) that can follow the history according  
 25 to the context-free grammar constraints. The

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likelihood of observing  $u_{i,l}$  following the history can be estimated by the uniform distribution below:

$$P(u_{i,l} | h) = 1 / \|W_Q(h)\|. \quad (6)$$

The uniform model does not capture the empirical word distribution underneath a context-free grammar non-terminal. A better alternative is to inherit existing domain-independent word tri-gram probabilities. These probabilities need to be appropriately normalized in the same probability space. Even though, we have used word tri-gram models to illustrate the technique, it should be noted that any word-based language model can be used here including word-level N-grams with different N. Also, the technique is applicable irrespective of how the word language models are trained (in particular whether task-independent or task-dependent corpus is used). Thus we have:

$$P(u_{i,l} | h) = \frac{P_{word}(u_{i,l} | u_{i,l-2}, u_{i,l-1})}{\sum_{w \in W_Q(h)} P_{word}(w | u_{i,l-2}, u_{i,l-1})} \quad (7)$$

Another way to improve the modeling of word sequence covered by a specific CFG non-terminal is to use a specific word tri-gram language model  $P_t(w_n | w_{n-2}, w_{n-1})$  for each non-terminal  $t$ . The normalization is performed the same as in Equation (7).

Multiple segmentations may be available for  $W$  due to the ambiguity of natural language. The

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likelihood of  $W$  is therefore the sum over all segmentations  $S(W)$ :

$$P(w) = \sum_{T \in S(W)} P(W, T) \quad (8)$$

Another aspect of the present invention includes  
5 using the unified language model as an aid in spoken language understanding. Although speech recognition commonly provides an output signal, typically textual, indicative of the words spoken by the user, it is often desirable to ascertain the intent or  
10 meaning of what has been spoken in order that an action can be taken by the computer. The latter analysis comprises spoken language understanding. Commonly, prior art systems provide the textual output of a speech recognizer to a natural language  
15 parser, which attempts to ascertain what has been spoken. It has been discovered that the speech recognition module can use the unified language model in a manner so as to provide additional information for spoken language understanding.

20 Generally, for a selected application, actions to be performed by the application can be classified as "topic identification". For instance, topic identifications of an electronic mail program could include sending an electronic mail, forwarding an  
25 electronic mail, replying to an electronic mail, adding an entry to an address book, etc. Each topic identification includes specific information (herein referred to "slots"). For instance, a simple spoken instruction such as "Send an e-mail to Peter about

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lunch" pertains to the topic identification of "Sending an electronic mail" wherein a "recipient" slot is "Peter" and a "topic" slot is "lunch".

FIG. 5 is a pictorial representation of the  
5   aforementioned example wherein the topic  
identification 160 comprises slots 161, 162, 163, 164  
and 165. As appreciated by those skilled in the art,  
additional information may be present in each topic  
identification. For example, in the aforementioned  
10   example, additional slots could include a "copy" slot  
163, "blind copy" 164 and an "attachment" slot 165.  
This example is merely illustrative and should not be  
considered limiting.

In this aspect of the present invention, each  
15   of the slots can form semantic or syntactic concepts  
in which a context-free grammar is written or  
otherwise provided. A non-terminal token of the  
context-free grammar represents each of the terminals  
and other non-terminals contained therein. It should  
20   be noted that non-terminal tokens can also be  
provided for each of the topic identifications as  
well. In other words, the context-free grammar can  
be a complete listing of all topic identifications  
and all slots present in the topic identifications  
25   for actions that can be taken by a selected  
application.

In use, the speech recognition system or module  
100 will access the unified language model 140 in  
order to determine which words have been spoken. The



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N-gram language model 142 will be used to predict words and non-terminals. If a non-terminal has been predicted, the plurality of context-free grammars 144 is used to predict terminals as a function of the non-terminals. In addition to the textual output from the speech recognition system 100 providing each of the words as spoken, the speech recognition system 100 can also indicate which context-free grammars were used and provide an indication as to slots present in the spoken phrase. Specifically, the textual output can include the non-terminal token representing the semantic concept for the words present in the textual output. In the example above, a textual output could be of the form:

<< Send electronic mail | Send e-mail> to  
<Recipient | Peter> about <Topic | lunch>>.

In this example, the outer most "< >" denote the topic identification 160, while inner "< >" denote slots 161 and 162 of the topic identification 160. Terminals such as "to" and "about" are provided separately in the textual output from the hybrid N-gram model 142 whereas terminals obtained from the corresponding context-free grammars 144 such as "Peter" and "lunch" are set off as provided above. It should be understood that this example is merely illustrative of one form in which the textual output from the speech recognition system can be provided. In this example, topic identification and slot information is embedded in the textual output. Those

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skilled in the art can appreciate that other forms can be provided. For instance, a first textual output can be for just terminals and a second output can indicate which terminals correspond to each  
5 respective slot. In other words, the form of the textual output from the speech recognition system is not essential to this aspect of the present invention. Rather, the output of the speech recognition system 100 should include indications of  
10 which terminals were believed spoken and which context-free grammars were used in ascertaining at least some of the terminals. Recognizer can use unified language model as shown in Equation (4) to search for the word sequence and the associated  
15 segmentation which has the highest score. The segmentation contains the needed information.

This information can be used by the selected application directly in taking a particular action, or this information along with the terminals forming  
20 the textual output can be provided to a natural language parser for further analysis before an action is taken by the selected application.

For instance, FIG. 6 illustrates a user interface 180 for an electronic mail program or  
25 application. Upon receipt of the output from the speech recognition system 100, the electronic mail program can initiate a "send electronic mail" action with display of interface in view of the "<Send electronic mail>" topic identification provided by

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the speech recognition module. The electronic mail program can also display in a "To:" field 181 "Peter" and in a "Subject:" field 182 "lunch". Each of these fields was previously associated with the non-terminal tokens in the plurality of context-free grammars 144. Therefore, identification of the non-terminal tokens in the textual output allows the electronic mail program to fill in the corresponding fields. As appreciated by those skilled in the art, the application need not use all of the non-terminal tokens provided in the textual output, nor must the application provide a user interface upon receipt of the textual output. In some applications, an action may be taken by the computer simply upon receipt of the textual output and without any further action by the user.

Although the present invention has been described with reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.

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What is Claimed:

1. A language processing system comprising:  
a unified language model comprising:  
a plurality of context-free grammars  
comprising non-terminal tokens  
representing semantic or syntactic  
concepts and terminals; and  
a N-gram language model having the non-  
terminal tokens; and  
a language processing module capable of receiving  
an input signal indicative of language and  
accessing the unified language model to  
recognize the language, the language  
processing module generating hypotheses for  
the received language as a function of words  
in the unified language model.
2. The language processing system of claim 1 wherein  
each of the terminals of the plurality of context-  
free grammars include a probability value, and  
wherein the language processing module calculates  
a language model score for each of the hypotheses  
using the associated probability value for each  
terminal present therein and obtained from the  
plurality of context-free grammars.
3. The language processing system of claim 2 wherein  
probabilities for terminals of the context-free  
grammars are assigned by using probability values

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derived from a terminal-based language model and normalizing said values using the set of terminals constrained by the context-free grammar.

4. The language processing system of claim 1 wherein the language processing module provides an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.

5. A method for recognizing language and providing an output signal indicative thereof, the method comprising:

receiving an input signal indicative of language;  
accessing a unified language model to recognize the language, the unified language model comprising a plurality of context-free grammars comprising non-terminal tokens representing semantic or syntactic concepts and terminals, and a N-gram language model having the non-terminal tokens; and  
generating hypotheses for the language as a function of words of the (?or in the?) unified language model.

6. The method of claim 5 wherein each of the terminals of the plurality of context-free grammars include a probability value, and wherein the method further comprises calculating a language model score for each of the hypotheses using the associated

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probability value for each terminal present therein and obtained from the plurality of context-free grammars.

7. The method of claim 6 and further comprising:  
assigning probability values of at least some of the terminals of the context-free grammars from a terminal-based language model and normalizing said values using the set of terminals constrained by the context-free grammars.
8. The method of claim 5 and further comprising:  
providing an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.
9. A computer readable medium including instructions readable by a computer which, when implemented execute a method to perform language processing, the method comprising:  
receiving an input signal indicative of language;  
accessing a unified language model to recognize the language, the unified language model comprising a plurality of context-free grammars comprising non-terminal tokens representing semantic or syntactic concepts and terminals, and a N-gram language model having the non-terminal tokens; and

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generating hypotheses for the language as a function of words of the (?or in the?) unified language model.

10. The computer readable medium of claim 9 wherein each of the terminals of the plurality of context-free grammars include a probability value, and wherein the method further comprises calculating a language model score for each of the hypotheses using the associated probability value for each terminal present therein and obtained from the plurality of context-free grammars.

11. The computer readable medium of claim 10 and further comprising:

assigning probability values of at least some of the terminals of the context-free grammars from a terminal-based language model and normalizing said values using the set of terminals constrained by the context-free grammars.

12. The computer readable medium of claim 9 and further comprising:

providing an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.

13. A language processing system comprising:  
a unified language model comprising:

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a plurality of context-free grammars comprising non-terminal tokens representing semantic or syntactic concepts and terminals; and  
a N-gram language model having the non-terminal tokens; and  
a language processing module capable of receiving an input signal indicative of language and accessing the unified language model to recognize the language, the language processing module providing an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.

14. The language processing system of claim 13 wherein information of the output signal indicative of at least some of the semantic or syntactic concepts includes information indicative of the non-terminals.

15. The language processing system of claim 13 wherein the semantic or syntactic concepts relate to at least one of an action, a subject and an object.

16. The language processing system of claim 13 wherein the output signal comprises terminals and non-terminal tokens embedded therein.



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17. The language processing system of claim 13 wherein the output signal comprises a first output signal comprising terminals of the language and a second output signal comprising non-terminals tokens indicating terminals of the first output signal indicative of semantic or syntactic concepts.

18. A method for recognizing language and providing an output signal indicative thereof, the method comprising:

receiving an input signal indicative of language;  
accessing a unified language model to recognize the language, the unified language model comprising a plurality of context-free grammars comprising non-terminal tokens representing semantic or syntactic concepts and terminals, and a N-gram language model having the non-terminal tokens; and

providing an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.

19. The method of claim 18 wherein information of the output signal indicative of at least some of the semantic or syntactic concepts includes information indicative of the non-terminals.

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20. The method of claim 18 wherein the semantic or syntactic concepts relate to at least one of an action, a subject and an object.

21. A computer readable medium including instructions readable by a computer which, when implemented execute a method to perform language processing, the method comprising:

receiving an input signal indicative of language;  
accessing a unified language model to recognize the language, the unified language model comprising a plurality of context-free grammars comprising non-terminal tokens representing semantic or syntactic concepts and terminals, and a N-gram language model having the non-terminal tokens; and  
providing an output signal indicative of the language and at least some of the semantic or syntactic concepts contained therein.

22. The computer readable medium of claim 21 wherein information of the output signal indicative of at least some of the semantic or syntactic concepts includes information indicative of the non-terminals.

23. The computer readable medium of claim 21 wherein the semantic or syntactic concepts relate to at least one of an action, a subject and an object.

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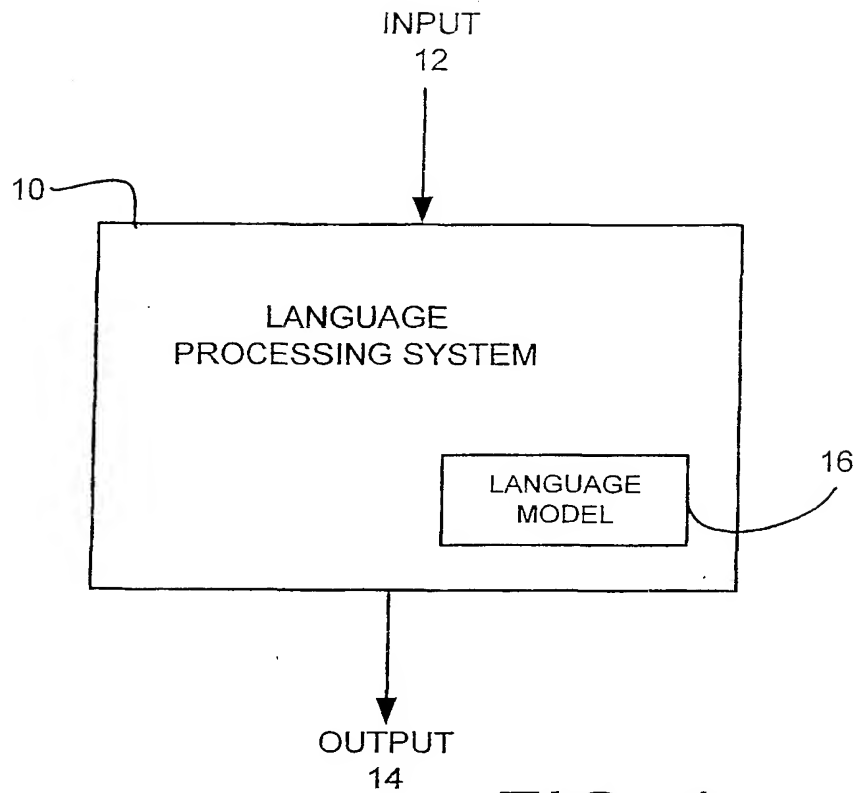


FIG. 1

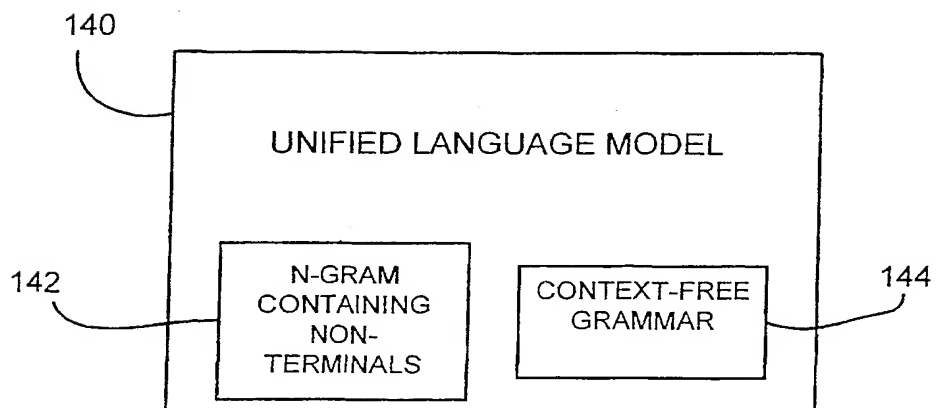


FIG. 4

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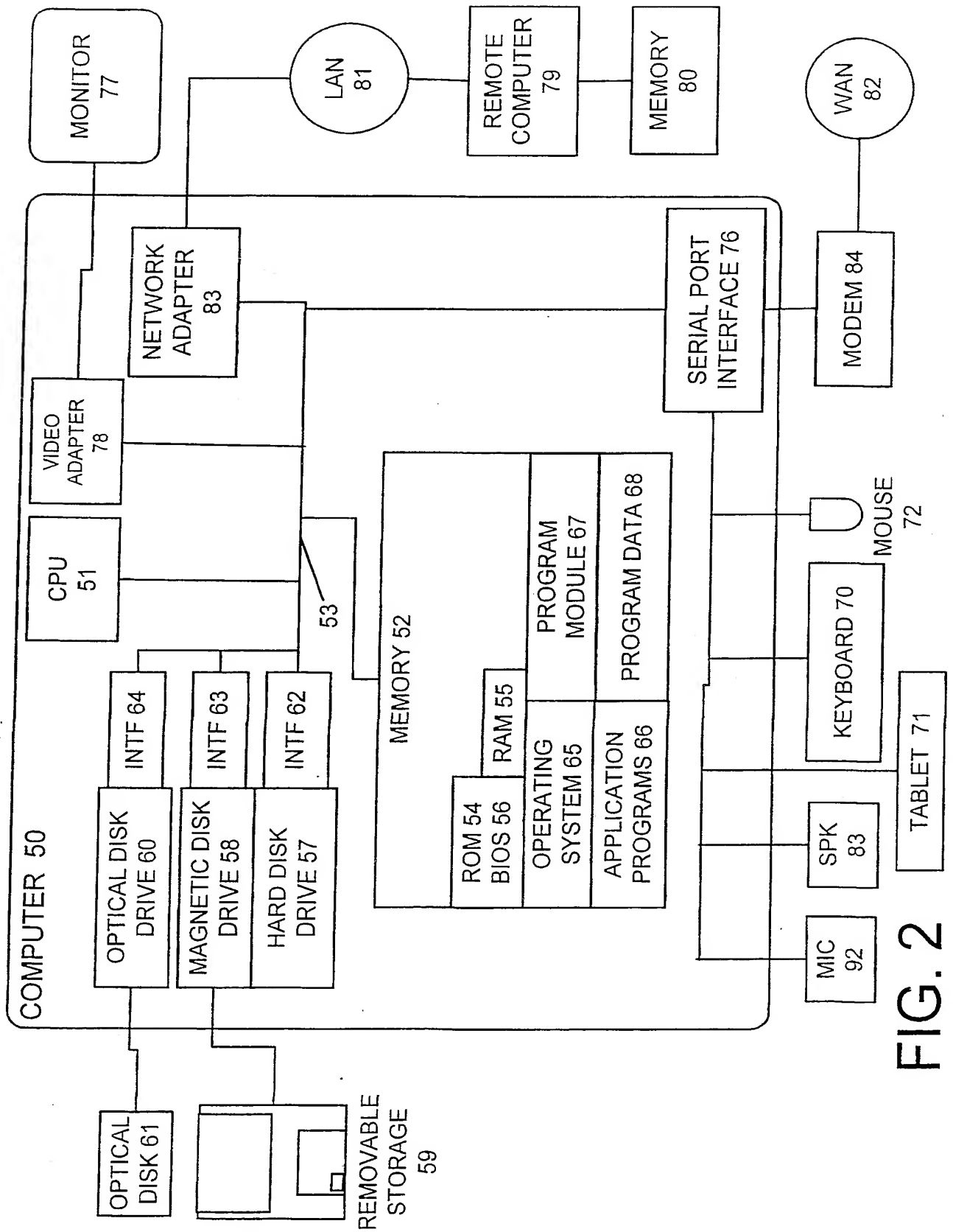


FIG. 2

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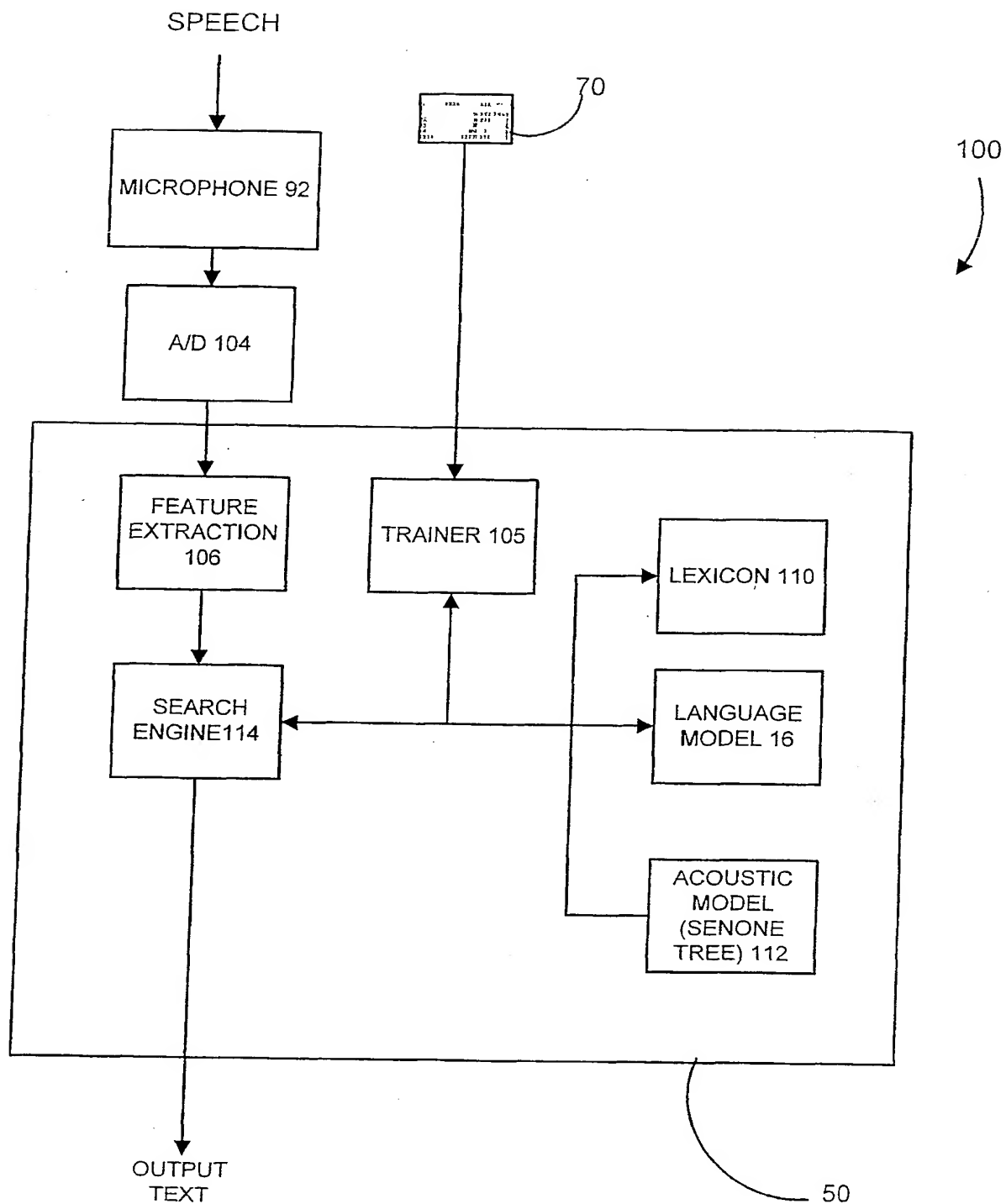


FIG. 3

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FIG. 5

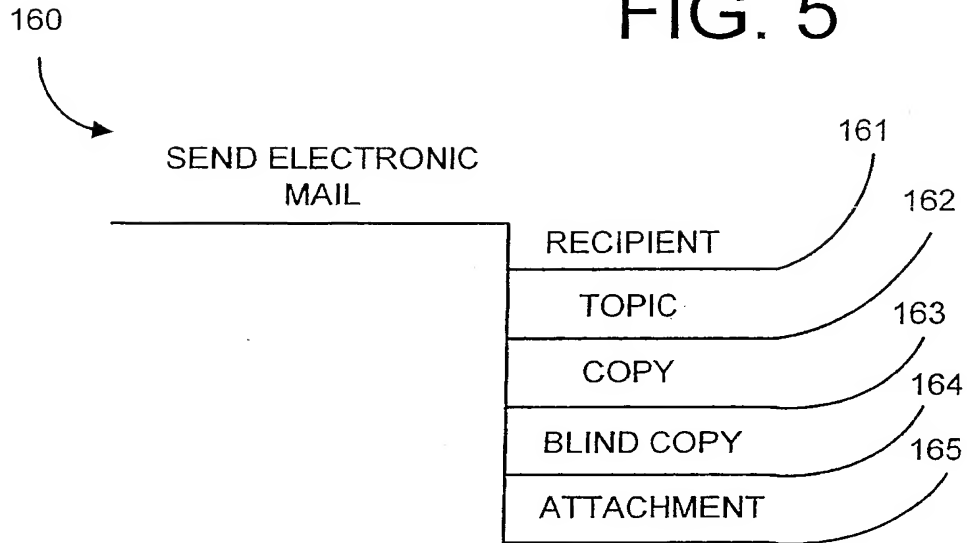


FIG. 6

180

181

182

TO:	PETER	ATTACHMENTS
CC:		
BCC:		
SUBJECT:	LUNCH	

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G10L15/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G10L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

COMPENDEX, INSPEC, EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	WANG YE-YI ET AL: "Unified context-free grammar and n-gram model for spoken language processing" 2000 IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING; ISTANBUL, TURKEY JUN 5-JUN 9 2000, vol. 3, 2000, pages 1639-1642, XP002181416 Proceedings 2000 IEEE, Piscataway, NJ, USA the whole document ----- -/--	1-23



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

29 October 2001

Date of mailing of the international search report

13/11/2001

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## Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	J. GILLET AND W. WARD: "A language model combining trigrams and stochastic context-free grammars" ICSLP'98, 30 November 1998 (1998-11-30) - 4 December 1998 (1998-12-04), XP002181417 Sydney, Australia the whole document	1,5,9
X	A. NASR ET AL: "A language model combining N-Grams and stochastic finite state automata" EUROSPEECH'99, 5 - 7 September 1999, pages 2175-2178, XP002181418 Budapest the whole document	1,5,9
X	LLOYD-THOMAS H ET AL: "AN INTEGRATED GRAMMAR/BIGRAM LANGUAGE MODEL USING PATH SCORES" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING (ICASSP). DETROIT, MAY 9 - 12, 1995. SPEECH, NEW YORK, IEEE, US, vol. 1, 9 May 1995 (1995-05-09), pages 173-176, XP000657958 ISBN: 0-7803-2432-3 the whole document	1,5,9
A	MOORE R C: "Using natural-language knowledge sources in speech recognition" COMPUTATIONAL MODELS OF SPEECH PATTERN PROCESSING, PROCEEDINGS OF COMPUTATIONAL MODELS OF SPEECH PATTERN PROCESSING, ST. HELIER, UK, 7-19 JULY 1997, pages 304-327, XP002181419 1998, Berlin, Germany, Springer, Germany ISBN: 3-540-65478-X page 308, paragraphs 3.2,CONTEXT... page 317, paragraphs 6.1,COMBINING...	1-23
A	TSUKADA H ET AL: "Reliable utterance segment recognition by integrating a grammar with statistical language constraints" SPEECH COMMUNICATION, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 26, no. 4, December 1998 (1998-12), pages 299-309, XP004153051 ISSN: 0167-6393 abstract	1-23

-/--



## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 01/16891

C.(Continuation. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	TAKEZAWA T ET AL: "DIALOGUE SPEECH RECOGNITION USING SYNTACTIC RULES BASED ON SUBTREES AND PRETERMINAL BIGRAMS" SYSTEMS & COMPUTERS IN JAPAN, SCRIPTA TECHNICA JOURNALS. NEW YORK, US, vol. 28, no. 5, 1 May 1997 (1997-05-01), pages 22-32, XP000699986 ISSN: 0882-1666 abstract -----	1-23
A	METEER M ET AL: "STATISTICAL LANGUAGE MODELING COMBINING N-GRAM AND CONTEXT-FREE GRAMMARS" SPEECH PROCESSING. MINNEAPOLIS, APR. 27 - 30, 1993, PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING (ICASSP), NEW YORK, IEEE, US, vol. 2, 27 April 1993 (1993-04-27), pages II-37-40, XP000427719 ISBN: 0-7803-0946-4 abstract -----	1-23

